

U.S. Department of Justice

Washington, DC 20530

**Short Form Registration Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**

**INSTRUCTIONS.** Each partner, officer, director, associate, employee, and agent of a registrant is required to file a short form registration statement unless he engages in no activities in furtherance of the interests of the registrant's foreign principal or unless the services he renders to the registrant are in a secretarial, clerical, or in a related or similar capacity. Compliance is accomplished by filing an electronic short form registration statement at <https://www.fara.gov>.

**Privacy Act Statement.** The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the FARA Unit in Washington, DC. Statements are also available online at the FARA Unit's webpage: <https://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public and online at: <https://www.fara.gov>.

**Public Reporting Burden.** Public reporting burden for this collection of information is estimated to average .23 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, FARA Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name  Lincoln Diaz-Balart	2. Registration Number  6086												
3. Residence Address 611 Ocean Drive Apartment 7F Key Biscayne FL 33149	4. Primary Business Address 999 Ponce de Leon Blvd. Suite 520 Coral Gables, FL 33134												
5. Year of Birth 1954  Nationality USA  Present Citizenship USA	6. If present citizenship was not acquired by birth, indicate when, and how acquired.  Naturalization 11/7/1979												
7. Occupation Attorney and Consultant													
8. What is the name and address of the primary registrant? Name Western Hemisphere Strategies, LLC      Address 999 Ponce de Leon Blvd. Suite 520 Coral Gables, FL 33134													
9. (a) Indicate your connection with the primary registrant: <table border="0"><tr><td><input type="checkbox"/> partner</td><td><input type="checkbox"/> director</td><td><input type="checkbox"/> employee</td><td><input type="checkbox"/> consultant</td></tr><tr><td><input type="checkbox"/> officer</td><td><input type="checkbox"/> associate</td><td><input type="checkbox"/> agent</td><td><input type="checkbox"/> subcontractor</td></tr><tr><td colspan="4"><input checked="" type="checkbox"/> other (specify) <u>Managing Member</u></td></tr></table> (b) Specify your position/title: Managing Member		<input type="checkbox"/> partner	<input type="checkbox"/> director	<input type="checkbox"/> employee	<input type="checkbox"/> consultant	<input type="checkbox"/> officer	<input type="checkbox"/> associate	<input type="checkbox"/> agent	<input type="checkbox"/> subcontractor	<input checked="" type="checkbox"/> other (specify) <u>Managing Member</u>			
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<input checked="" type="checkbox"/> other (specify) <u>Managing Member</u>													
10. List the foreign principal to whom you will render services in support of the primary registrant. Administrative Ministry of the Presidency, Dominican Republic													



11. Describe in detail all services which you will render to the foreign principal listed in Item 10 either directly, or through the primary registrant listed in Item 8.

See Appendix for Response

12. Do any of the above described services include political activity as defined in Section 1(o) of the Act<sup>1</sup>?

Yes ☒

No ☐

If yes, describe separately and in detail such political activity. The response must include, but not be limited to, activities involving lobbying, promotion, perception management, public relations, economic development, and preparation or dissemination of informational materials.

Inasmuch as the foregoing activities are intended to positively influence the interests of the Dominican Republic, they may be construed as political activity.

13. The services described in Items 11 and 12 are to be rendered on a

☐ full time basis

☒ part time basis

☐ special basis

14. What compensation or thing of value have you received to date or will you receive for the above services?

☒ Salary: Amount \$ 20,000.00 per Month

☐ Commission at \_\_\_\_\_ % of \_\_\_\_\_

☐ Salary: Not based solely on services rendered to the foreign principal(s).

☐ Fee: Amount \$ \_\_\_\_\_

☐ Other thing of value \_\_\_\_\_

15. During the period beginning 60 days prior to the date of your obligation to register under FARA, have you, from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value in connection with an election to political office or in connection with any primary election, convention, or caucus held to select candidates for any political office?

Yes ☒

No ☐

If yes, furnish the following information:

Date	Donor	Political Organization/Candidate	Method	Amount/Thing of Value
See attached				


### EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

15 October 2020 Lincoln Diaz-Balart

Date

Printed Name

  
Signature

<sup>1</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.



Response to Item 11

Item 11: Describe in detail all services which you will render to the foreign principal listed in Item 10 either directly, or through the primary registrant listed in Item 8.

The registrant will provide strategic advice to the client to consolidate a positive relationship with the United States, will interact with and gather information from Dominican officials and private sector representatives to obtain better information to inform Dominican outreach efforts, will cultivate interest in Dominican issues among public policy organizations and will communicate with U.S. officials, including members of Congress and staff members, on issues impacting the U.S.-Dominican relationship.



## 15. Disbursements—Political Contributions (August 1, 2020 – October 15, 2020)

## Lincoln Diaz-Balart Personal Accounts:

9/2/2020	Steve Bovo Campaign for Mayor 2600 South Douglas Road, Suite 900 Coral Gables, FL 33134	Ck. 1405	\$1000
9/3/2020	NICOLE FOR NEW YORK PO BOX 60487, STATEN ISLAND, NY 10306 FEC# C00694778	Online	\$1000
9/3/2020	KAT FOR CONGRESS 5200 NW 43RD ST STE 102-180, GAINESVILLE, FL 32606 FEC# C00730895	Online	\$1000
9/11/2020	Mooney for Congress P.O. BOX 1863, MARTINSBURG, WV 25402 FEC# C00506774	Online	\$1000
9/15/2020	Ana Maria Rodriguez Campaign for State Senate, District 39	Online	\$750
9/15/2020	Ileana Garcia Campaign for State Senate, District 37	Online	\$750
10/1/2020	KATKO FOR CONGRESS 228 S WASHINGTON ST., STE 115 ALEXANDRIA, VA 22314 FEC# C00556365	Online	\$1000
10/1/2020	FRIENDS OF DAVE JOYCE 320 KENARDEN DRIVE CLEVELAND, OH 44143-3710 FEC# C00527457	Online	\$1000





October 12, 2020

The Honorable Lincoln Diaz-Balart  
Western Hemisphere Strategies, LLC  
999 Ponce de Leon Boulevard, Suite 520  
Coral Gables, FL 33134

Dear Mr. Diaz-Balart:

I am pleased to inform you that Visión Américas (VA) will retain the services of Western Hemisphere Strategies, LLC (WHS) beginning on October 12, 2020 through April 15, 2021.

In this regard, WHS will provide services related to VA's activities to positively affect relations between the Dominican Republic and the United States.

The agreement to provide services commences on October 12, 2020 for a monthly fee of \$20,000 to WHS based on invoices submitted monthly. Please note that either party may cancel this agreement with a 30-day notification in writing delivered to the principal location of the other party.

WHS, as an organization, is required to sign a confidentiality agreement to protect our activities and interests.

Both parties understand and agree that WHS will not engage in advocacy before US Representative Mario Diaz-Balart or his staff.

We look forward to a productive and effective relationship with you. Please sign this letter and enclosed contract and return them to Visión Américas to indicate your agreement with the terms and conditions of your consultancy.

Sincerely,

A blue ink signature of Roger Noriega, written in a cursive style.

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Roger Noriega  
Managing Director  
Visión Américas, LLC

A black ink signature of Lincoln Diaz-Balart, written in a stylized, bold cursive style.

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Lincoln Diaz-Balart  
Managing Director  
Western Hemisphere Strategies, LLC